# Index

1	System Requirements	2
2	System Login	5
3	Account Information	6
4	Order	9
5	Change Password	15
6	Keep Connection	15
7	Password Reset	.16

## 1 System Requirements

Before login to BOCOM International Online Trading (Overseas Securities) system, please make sure the following browser and software package is properly installed:

- Internet Explorer 7 or later
- Java Runtime Environment (JRE) 1.6 or later

### 1.1 Update Check for Internet Explorer

#### **Open Internet Explorer**



#### Choose "Help", and then choose "About Internet Explorer"



The following window will pop up and show the version of Internet Explorer.



If your current browser is Internet Explorer 6 or below, please visit

http://www.microsoft.com/windows/ie/default.mspx to download and install a newer version of

Microsoft Internet Explorer

#### 1.2 Update Check for Java

Open Internet Explorer. Choose "Tools" -> "Internet Options"



The following panel will be popped up, and then choose "Advanced". The current version of Java will be shown on the "Java (Sun)" setting

Internet Options									
General Security Privacy Content Connections Program Advanced									
Settings									
Send UTF-8 URLs  Show Information Bar for encoded addresses Use LITE-8 for mailto links									
<ul> <li>Java (Sun)</li> <li>✓ Use JRE 1.6.0_26 for <applet> (requires restart)</applet></li> </ul>									
Imultimedia ✓ Always use ClearType for HTML*									
✓ Play animations in webpages*									
Play sounds in webpages     Show image download placeholders									
Show pictures									
"Lakes effect after you restart internet Explorer									
Restore advanced setungs									
Resets Internet Explorer's settings to their default									
condition.									
You should only use this if your browser is in an unusable state.									
確定 取消 套用(鱼)									

If the version of Java is below 1.6 or it is not yet installed, please visit <u>http://java.com/en/</u> to upgrade or install

## 2 System Login

Please go to BOCOM International's website <u>http://www.bocomgroup.com/</u> and choose *"Online Trading (Overseas Securities) Login"* 



Please enter "Client Code" and "Password"

🖉 BOCOM International Securities Limited Trading Platform - Windows Internet Explorer	
🔊 https://sec bocomgroup.com/InternetTreding/en/login.html	
交銀國際證券 BOCOM INTERNATIONAL SECURITIES	
Login Client code: Password: Language: English Version: Standard Version: Standard Version: Reset Password Reset	
Disclaimer @Copyright by BOCOM International Securities Limited (1.1.86)	

For first login, password must be changed before entering the system

Change password upon first login									
Original login password									
New login password									
Confirm login password									
	Confirm	Reset							

\*Password should be at least 8 and not more than 16 characters, in both alphabets and numbers

## 3 Account Information

Client can view the most up-to-date account information and trading status at the bottom of the page

C BOCOM Internatio	onal Secur	ities Limited	Trading Platform - W	indows Internet Explorer						
1 交銀國	堅諮	炭							: Standard 🗍	简体中文 繁體中文 🔨
BOCOM INTERNATI	TIONAL SECUR	ITIES GIO	bal Stock						Keep connection	Logout
交銀 BOCOM INTER	國 際	證券 ecurantes		Internet Exp           最有可能的原           您有可能的原           您您並未           該網站           · 位址可」           您可以嘗試的           · 診斷連線           · 其他資訊	lorer 無法易 因: 連線至網際網側 發生問題。 能輸入錯誤。 方式: 問題	<b>員示網頁</b> 務・				
	Coll	L ouin I	lser: 8000000	5				Logou	•	
Order A/C Portfol	lio Multi	CCY TXN H	istory Order Histo	ry Cash Movement S	ecurities Move	ment Other	·c	Logoa	Version 1.0.140.57 (B	uild 2012.03.05)
Stock Code:	no marci	Search			0001100011010	mone outer	-			
	I	Buy/Sell	Stock	Stock Name		Price	Total Qty	Order Type	Order Status	Filled Qty
Cancel Am	end	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	40.0	50	Limit Order	Fully Matched	
<u>Cancel</u> Am	end	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	10.0	10	Limit Order	Rejected	
Disclaimer			-111					© Cor	vrighted 2008 Connection	n status: Connected
Disclamo								@ C01	syngmed 2000 Connectio	Si status. comected
完成									😌 網際網路	🔍 100% 🔻 🛒

### 3.1 Order

Show all orders placed today

Order 🗛	/C Portfolio M	lulti CCY TXN. Hi	story Order Hist	ory Cash Movement S	ecurities Mov	ement Othe	rs		
Stock Code: Search All									
		Buy/Sell	Stock	Stock Name		Price	Total Qty		
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	50.5	10		
Cancel	Amend	Buy	OSE: 7974	NINTENDO CO LTD	JPY	50.0	200		
Cancel	Amend	Buy	OSE: 7974	NINTENDO CO LTD	JPY	50.0	100		

### 3.2 A/C Portfolio

Show client's purchasing power, cash on hold, holding stocks information

Order A/C Portfolio Mu	ulti CCY TXN. History Or	der History	Cash Movement	Securities Movement	Others	
Purchasing Power	39,664,614,871.38	Company C	ode : SEC 💌	Sto	ck Code :	C
Avail. Balance Credit Limit	39,779,739,350.52 N/A	Exchange	Stock	Stock Name	Avail. Qty	Closing
Cash Balance	39.779.739.350.52	OSE	7974	NINTENDO CO LTD	103,200	2,232,21
Avail, Margin Value	N/A	NASDAG	2 AAPL	APPLE INC	93	46
Cash On Hold	0.00	ETR/	A DBK	DEUTSCHE BANK-RG	i 51	2
Cash Movement	0.00					
Today Bought	18,606.18					
Today Sold	0.00					
Net Buy/Sell	-18,606.18					
T+1 Balance	-115,105,872.96					
Portfolio's Ref. Value	39,879,290,786.86					

## 3.3 Multi CCY

Show all holding balances and exchange rates for multiple currencies

Order A/C F	Portfolio Mult	ti CCY TXN. History Or	der History Cash Move	ment Securi	ties Movement Others					
Company Code SEC 💌										
Ccy Code	Exchang	Open Balance	Available Balance	On Hold	Ledger Balance	Cash Mov				
USD	7.748500	999,961,756.93	999,961,756.93	0.00	999,961,756.93					
EUR	10.352700	999,999,945.49	999,999,945.49	0.00	999,998,148.26					
JPY	0.096000	9,971,189,920.00	9,971,189,920.00	0.00	8,772,170,410.00					
GBP	12.141202	1,000,000,000.74	1,000,000,000.74	0.00	1,000,000,000.74					
RMB	1.183000	1,000,000,000.00	1,000,000,000.00	0.00	1,000,000,000.00					
SGD	6.397400	1,000,000,000.00	1,000,000,000.00	0.00	1,000,000,000.00					
HKD	1.000000	1,000,000,000.00	1,000,000,000.00	0.00	1,000,000,000.00					

## 3.4 Transaction History

Show client's transaction history

Order A/C Po	rtfolio Multi C	CY TXN. H	istory Order History C	ash Movement   Securit	ies Movemer	nt Others	
<< 1	/ 1	Page 📄	»> Trade 🔹 🚺	/	S	itock Code:	
Trade Date	Trade Ref.	Stock	Stock Name	Exchange Market	Buy/Sell	Currency	Price
2012-03-01	01003796	7974	NINTENDO CO LTD	OSE	Buy	JPY	386,645.16
2012-02-28	01003785	DBK	DEUTSCHE BANK-RG	ETRA	. Buy	EUR	35.110
2012-02-24	01003783	7974	NINTENDO CO LTD	OSE	Buy	JPY	288,000.00
2012-02-22	01003758	AAPL	APPLE INC	NASDAQ	Buy	USD	400.000

### 3.5 Order History

Show client's order history

Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others											
From 2012	YYYY 0	3 MM 01 DD To	2012 YYY	<b>YY</b> 03 MM 02	DD Inquiry						
<< 1	/ 2	Page >>				Stock Code:					
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty	Outstanding Qty	Order S				
Buy	DBK	DEUTSCHE BANK-RO	G 50.00000	1,000	0	0	Ci				
Buy	C	CITIGROUP INC	0 1.12345	1	0	0	F				
Buy	С	CITIGROUP INC	5.67890	1	0	0	F				
Buy	С	CITIGROUP INC	0 1.12340	1	0	0	F				
Buy	DBK	DEUTSCHE BANK-RO	G 40.12000	1	0	0	Ca				

Client can view order history within specific period

For the start date of enquiry, input year, month and day at "YYYY", "MM" and 'DD' respectively

[	Orde	r A/C F	Portfolio	Multi CCY	TXN	I. Hist	ory	Order Hi	istory	Cash	Movem	ent Se	curities I	Mover
	From	2012	YYYY	2 <b>MM</b>	15	DD	То	2012	YYY	<b>Y</b> 03	<b>MM</b> 02	2 <b>DD</b>	Inqui	ry
	<< R110	/Sall	/ 0 Stock	Pag St	je ( ock N	>>		Drig	-0	Tota	al Otu	Fill	ad Otu	
	Duy	/ Jen	Stock		OCK	vume	,	- FIR		100			cu (21)	

For the end date of enquiry, input year, month and day at "YYYY", "MM" and 'DD'

Order A/C F	Portfolio Mu	ulti CCY TX	N. History	Order History	Cash Moveme	nt Securities Move
From 2012	<b>YYYY</b> 2	<b>MM</b> 15	DD To	2012 YYY	<b>YY</b> 03 MM 02	DD Inquiry
<<	/ 0	Page	>>			
Buy/Sell	Stock	Stock	Name	Price	Total Qty	Filled Qty

#### Click "Inquiry" to show the search results

From 2012	YYYY 2	MM 15 DD To	2012 YYY	<b>'Y</b> 03 MM 02	DD Inquiry	
<< 1	/7	Page >>				
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty	
Buy	DBK	DEUTSCHE BANK-RG	50.00000	1,000	0	
Buy	C	CITIGROUP INC	1.12345	1	0	
Buy	C	CITIGROUP INC	5.67890	1	0	
Buy	C	CITIGROUP INC	1.12340	1	0	
Buy	DBK	DEUTSCHE BANK-RG	40.12000	1	0	
Buy	DBK	DEUTSCHE BANK-RG	40.12340	1	0	
Buy	BMW	BAYER MOTOREN WK	1.12340	1	0	
Buy	GOOG	GOOGLE INC-CL A	1.12340	1	0	

If there are too many records to display, please click "<<" or ">>" to view other search results

From 2012	<b>YYYY</b> 2	MM 15 DD To	2012 <b>YYY</b>	Y 03 MM 02	DD Inquiry
<< 1	/ 7	Page >			
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty
Buy	DBK	DEUTSCHE BANK-RG	50.00000	1,000	0
Buy	C	CITIGROUP INC	1.12345	1	0
Buy	n l	CITIGROUD INC	5 67800	1	n

## 3.6 Cash Movement

Show all cash in/out records

Order A/C Portfolio Mu	ulti CCY 🛛 T	XN. History	Ord	der History	С	ash Movement	Securities Mo	ovement Ot	hers
Cash In			7	Company (	Cod	de : SEC	*		
			-	In/Out		In/Out Date	Currency	Amount	Rema
Cash Out			U	I	n	2012-02-21	L USD	1,000,000	
No Becord(c)			7	I	n	2012-02-21	L EUR	1,000,000	
No. Record(S)			1	I	n	2012-02-21	l GBP	1,000,000	
				I	n	2012-02-21	l HKD	1,000,000	
				I	n	2012-02-21	L JPY	10,000,00	
				I	n	2012-02-21	l RMB	1,000,000	
				I	n	2012-02-21	L SGD	1,000,000	

#### 3.7 Securities Movement

## Show all securities deposit/withdrawal records

Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others										
No. Securities In Company Code : SEC 💌										
		In/Out	In/Out Date	Settled Date	Stock	Stock Name				
No. Securities Out	1	In	2012-03-01	2012-03-01	7974	NINTENDO C				
Total No. of Pocord(c)	2	Out	2012-03-01	2012-03-01	AAPL	APPLE INC				
Total No. of Record(S)	Z									

## 3.8 Others

Please refer to page 15 "5 Change Password"

## 4 Order

Client can place orders by clicking "Buy" or "Sell" at the left of the page

BOCOM International Securities Limited Trading Platform	<ul> <li>Windows Internet Explorer</li> </ul>					
一一一四國際地学					: Standard	简体中交 繁體中交 🔨
2 父銀國際證券						
Global Stock					Keep connection	Logout
Global Stock 交銀國際證券 BOCOMINTERNATIONAL SECURITIES		Stock price quote temporary, please	and market informatio contact customer set	n services is not available vice.	(2) Keep connection	Logout
Ruy Sall Login User : 800000	005					
Order A C Dottfolio Multi CCV TXN Llictory Order L	istory Cosh Moyomont Cooy	rition Movement	thora	Lugot	Version 1.0.140.57	(Build 2012.03.05)
Charle Carter	istory   Casir Movement  Secu	nues movement. O	uiers			
Stock Code: Search All			<b>T</b> 1 1 01	0 L T		511 L OI
Cancel Imend Buy ETRA: DE		EUR SC	5 10	Limit Order	Oruer Status Rejector	Filled Qty
Cancel Amend Buy OSE: 797	4 NINTENDO CO LTD	JPY 50	.0 200	Limit Order	Rejected	
Cancel Amend Buy OSE: 797	4 NINTENDO CO LTD	JPY 50	.0 100	Limit Order	Rejected	
<						
Disclaimer				© Copy	righted 2008 Connectio	n status: Disconnected
					- - 	🕀 100% 🔻

#### 5.1 Ordering Procedures

After pressing *"Buy"* or *"Sell"*, the system will pop up the following order ticket. Please input the order information

- "Market" and "Stock Code"
- "Order Price"
- "Order Quantity"
- "Order Type" (Limit Order only)
- "Trade Password"

	X		
Buy Stock Name	Trade Date:2012-03-05 DEUTSCHE BANK-RG	Sell Stock Name	Trade Date:2012-03-06 DEUTSCHE BANK-RG
Broad Lot Size	1	Broad Lot Size	1
Stock Code	ETRA 🗸 DBK	Stock Code	ETRA 💌 DBK
Order Price	40	Order Price	40
Order Quantity	50	Order Quantity	50
Order Type	Limit Order 💌	Order Type	Limit Order 🛛 💌
Trade Password 🔽	•••••	Trade Password 🔽	•••••
Purchasing Power	999,998,148.26	Purchasing Power	999,998,148.26
Usable Quantity	51	Usable Quantity	51
Gross	2,000.00	Gross	2,000.00
Proceed	Cancel	Proceed	Cancel
Out of 24 Spread		Out of 24 Spread Next Trade Date Order	

Client can check the box next to *"Trade Password"* so that he/she will not need to enter the password again for the next order (Client should consider if he/she requires this function)

Trade Password 🔽 🔹

\*After logout the system, the password saving functionality will be removed

#### Press "Proceed"

After verifying the order information, press "Confirm" to send the order

Confirm	
Stock Code	ETRA: DBK
Stock Name	DEUTSCHE BANK-RG
Buy/Sell	Buy
Order Price	EUR 40.0
Order Quantity	50
Order Type	Limit Order
Price Out of 24 Spread	No
Next Trade Date Order	No
After confirmation, please go to the section to check if your instruction ha executed.	"Order - Order Status" as been successfully
Confirm Can	cel

After sending the order, the order information will be shown at the bottom of the page under tab of *"Order"* 

Standard 谐雄中文 Sight X Standard 谐雄中文 Sight X Standard 谐雄中文 Sight X Standard Stark Sight X Standard Stark Sight X Stark Stark S	BOCOM International Securities Limited Tra	iding Platform - Windows In	ternet Explorer						
Construction to the tendence of tendence of the tendence of tendece of tendence of tendence of tendence of tendence of tendence o	1 交銀國際證券							: Standard	简体中交繁體中交 🔨
★ Sell Login User: 80000005          Order Act Portfolio Multi CCV TXN. History Order History Cash Movement Steurities Movement Others       Logut         Stack Code:       Search All         Yerrien 1.0140 Study ETRA: DBK       DEUTSCHE BANK-RG         EUR       Vice Stack Name         Price Amend Buy/Sell Stock Name       Stock Name         Price Amend Buy       Stock Name         ETRA: DBK       DEUTSCHE BANK-RG         EUR       10.0         10       Limit Order Fully Rejected	BOCOM INTERNATIONAL SECURITIES Global	Stock						Keep connection	Logout
Buy     Login User:     80000005       Withges Hall     ************************************	交銀國際證券 BOCOM INTERNATIONAL SECURITIES	i	Internet Explore	er 無法顯	示網頁				
● 能明治致生问题 <sup>•</sup> ● 他知可能输入结果。 您可以嘗試的方式: ● 影響連線問題 ● 其他資訊 ● Unit of the second sec			最有可能的原因: • 您並未連線	至網際網路	•				
Cance Amend Buy ETRA: DBK DEUTSCHE BANK-RG EUR 40.0 10 Limit Order Fully Matched Cance Amend Buy ETRA: DBK DEUTSCHE BANK-RG EUR 10.0 10 Limit Order Feigetted			<ul> <li>         該病站設主     </li> <li>         位址可能報     </li> </ul>	に同題。 入錯誤。					
● 診野連線問題 ● 其他資訊 Buy Sell Login User: 80000005 Logout Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others Version 1:0.140.57 (Build 2012:03:05) Stock Code: Search All ▼ Buy/Sell Stock Stock Name Price Total Qty Order Type Order Status Filled Qty Cance Amend Buy ETRA: DBK DEUTSCHE BANK-RG EUR 40.0 50 Limit Order Fully Matched Cance Amend Buy ETRA: DBK DEUTSCHE BANK-RG EUR 10.0 10 Limit Order Rejected			您可以嘗試的方式	ť:					
● 其他資訊       Buy     Sell     Login User:     80000005     Logout       Order     A/C Portfolio     Multi CCY     TXN. History     Order History     Cash Movement     Others       Stock Code:     Search     All     Image: Search     Stock     Stock Name     Price     Total Qty     Order Type     Order Status     Filled Qty       Cance     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     10.0     10     Limit Order     Rejected			<ul> <li>診斷連線問題</li> </ul>						
Buy     Sell     Login User:     800000005     Logout       Order     A/C Portfolio     Multi CCY     TXN. History     Order History     Cash Movement     Others     Version 1.0.140.57 (Build 2012.03.05)       Stock Code:     Search     All     Image: Concel Amend     Buy/Sell     Stock     Stock Name     Price     Total Qty     Order Type     Order Status     Filled Qty       Cancel     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     40.0     50     Limit Order     Fully Matched     10       Cancel     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     10.0     10     Limit Order     Rejected			⊙ 其他資訊						
Buy     Sell     Login User:     800000005     Logout       Order     A/C Partfolio     Multi CCY     TXN. History     Order History     Cash Movement     Others     Version 1.0.140.57 (Build 2012.03.05)       Stock Code:     Search     All     Image: Concel Amend     Buy/Sell     Stock Name     Price     Total Qty     Order Type     Order Status     Filled Qty       Cancel     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     40.0     50     Limit Order     Fully Matched       Cancel     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     10.0     10     Limit Order     Rejected									
Buy       Sell       Login User:       Buy Buy       Buy       Logout         Order       A/C Portfolio       Multi CCY       TXN. History       Order History       Cash Movement       Search       Verzion 1.0.140.57 (Build 2012.03.05)         Stock Code:       Search       All       Image: Search       All       Image: Search       Filled Qty         Conce       Amend       Buy       ETRA: DBK       DEUTSCHE BANK-RG       EUR       40.0       50       Limit Order       Fully Matched       Search         Conce       Amend       Buy       ETRA: DBK       DEUTSCHE BANK-RG       EUR       10.0       10       Limit Order       Rejected									
Buy     Sell     Logout       Order     A/C Portfolio     Multi CCY     TXN. History     Order History     Cash Movement     Securities     Securities     Version 1.0.140.57     (Build 2012.03.05)       Stock Code:     Search     All     Version 1.0.140.57     (Build 2012.03.05)       Stock Code:     Search     All     Version 1.0.140.57     (Build 2012.03.05)       Cancel     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     40.0     50     Limit Order     Fully Matched       Cancel     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     10.0     10     Limit Order     Rejected								_	
Order     A/C Portfolio     Multi CCY     TXN. History     Order History     Cash Movement     Securities Movement     Others       Version 1.0.140.57 (Build 2012.03.05)       Stock Code:     Search     All       Stock Code:     Search     All       Buy/Sell     Stock Name     Price     Total Qty     Order Type     Order Status     Filled Qty       Cance     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     10.0     10     Limit Order     Fully Matched       Cance     Amend     Buy     ETRA: DBK     DEUTSCHE BANK-RG     EUR     10.0     10     Limit Order     Rejected	Buy Sell Login Us	er: 800000005					Logou	t	
Stock Code:       Search       All         Buy/Sell       Stock       Stock Name       Price       Total Qty       Order Type       Order Status       Filled Qty         Cancel       Amend       Buy       ETRA: DBK       DEUTSCHE BANK-RG       EUR       40.0       50       Limit Order       Fully Matched       10         Cancel       Amend       Buy       ETRA: DBK       DEUTSCHE BANK-RG       EUR       10.0       10       Limit Order       Rejected	Order A/C Portfolio Multi CCY TXN. Hist	ory Order History Cash	Movement Secu	rities Mover	nent Other	s		Version 1.0.140.57 (I	3uild 2012.03.05)
Buy/Sell         Stock         Stock Name         Price         Total Qty         Order Type         Order Status         Filled Qty           Cance         Amend         Buy         ETRA: DBK         DEUTSCHE BANK-RG         EUR         40.0         50         Limit Order         Fully Matched         5           Cance         Amend         Buy         ETRA: DBK         DEUTSCHE BANK-RG         EUR         10.0         10         Limit Order         Rejected         5	Stock Code: Search	All 🔽							
Cancel Amend Buy ETRA: DBK DEUTSCHE BANK-RG EUR 40.0 50 Limit Order Fully Matched S Cancel Amend Buy ETRA: DBK DEUTSCHE BANK-RG EUR 10.0 10 Limit Order Rejected	Buy/Sell	Stock Stoc	k Name		Price	Total Qty	Order Type	Order Status	Filled Qty
	Cancel Amend Buy	ETRA: DBK DEUTS	CHE BANK-RG	EUR	40.0	50	Limit Order	Fully Matched	
< (X	Cancer Ameria Buy	ETRA, DBK DEUTS		EUR	10.0	10	Linic Order	Rejetteu	
۶ <u> </u>									
K									
< >									
< >									
	<				l)				>
Disclaimer © Copyrighted 2008 Connection status: Connected	Disclaimer						© Co	pyrighted 2008 Connec	tion status: Connected 🗸

The order statuses will be shown and updated automatically at "Order"

Order	A/C F	ortfolio	Multi CCY	TXN	I. History	Order History	Cash Mo	ovemer	nt Secur	ities Movem	ent Others	
Stock (	Code:			Sear	ch All	*						
			Buy/	Sell	Stock	Stock N	ame		Price	Total Oty	Order Type	Order Status
				_		Geocheri	anne		11100		Order Type	
Cano	e	Amen	d	Buy	ETRA: DB		BANK-RG	EUR	40.0	50	Limit Order	Fully Matched

#### 5.2 Order Amendment

Client can amend a queuing order by clicking *"Amend"* at the corresponding order record under tab of *"Order"* 

Order	A/C I	Portfolio	Multi CCY	TXN. I	History Order His	story	Cash Movement	Securities Mov	ement Othe	ers
Stock (	Code:			Search	All	~				
			Buy/	Sell	Stock		Stock Name		Price	Total Qty
Cano	cel	Amen	d 🗌	Buy	ETRA: DBI	ĸ	DEUTSCHE BANK-RO	EUR	60.0	100
Cano	cel 🔄	Amen	d	Buy	ETRA: DBI	К	DEUTSCHE BANK-RO	6 EUR	50.5	10
Cano	cel 🗌	Amen	d	Buy	OSE: 797	4	NINTENDO CO LTE	) JPY	50.0	200
Cano	cel	Amen	d	Buy	OSE: 797	4	NINTENDO CO LTE	) JPY	50.0	100

Amend Stock Code ETRA: DBK DEUTSCHE BANK-RG Stock Name Buy/Sell Buy **Order Price** EUR 60.0 **Order Quantity** 100 **Outstanding Quantity** 100 Order Type Limit Order **Broad Lot Size** 1 20 New Price New Quantity 70 Password **V** ..... Proceed Cancel

Client can modify the trade order information, including price and quantity. Press "Proceed"

 $\mathbf{X}$ Amendment Confirm Stock Code ETRA: DBK Stock Name DEUTSCHE BANK-RG Buy/Sell Buy **Order Price** EUR 60.0 Order Quantity 100 **Outstanding Quantity** 100 Order Type Limit Order EUR 20 New Price New Quantity 70 Price Out of 24 Spread No After confirmation, please go to the "Order - Order Status" section to check if your instruction has been successfully executed. Confirm Cancel

After verifying the order information, press "Confirm" to send the amendment request

#### Updated trade order will be shown at "Order"

Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others											
Stock Code:		Search	🔜 All 🛛 💌	•							
		Buy/Sell	Stock	Stock Name		Price	Total Qty				
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	20.0	70				
Cancel	Amend	виу	ETRA: DBK	DEUTSCHE BANK-RG	EUR	70.0	50				
Cancal	0 mond	D	ETDA: DDV	DELITECHE DANK DO	E LID	< 0 ol	100				

#### 5.3 Order Cancellation

Client can cancel a queuing order by clicking *"Cancel"* at the corresponding order record under tab of *"Order"* 

Order A/C I	Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others										
Stock Code:		Search	n All 💽	/							
		Buy/Sell	Stock	Stock Name		Price	Total Qty				
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	20.0	70				
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	70.0	50				
Cancel	Amend )	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	60.0	100				
Concerned and	A	Direct	ETDA: DDK	DEUTOOUE DANK DO		E0.5	10				

#### Press "Proceed"

		×				
Cancel						
Stock Code Stock Name Buy/Sell Order Price Order Quantity Outstanding Quantity Order Type	ETRA: DBK DEUTSCHE BANK-RG Buy EUR 20.0 70 20 Limit Order					
Password 🔽	•••••					
Proceed Cancel						

After verifying the order information, press "Confirm" to send the cancellation request

Cancellation Confi	irm					
Stock Code	ETRA: DBK					
Stock Name	DEUTSCHE BANK-RG					
Buy/Sell	Buy					
Order Price	EUR 20.0					
Order Quantity	70					
Outstanding Quantity	20					
Order Type	Limit Order					
been successfully executed.						
Confirm Cancel						
Confirm	Cancel					
Confirm	Cancel					
Confirm	Cancel					
Confirm	Cancel					

## The order status is updated as "Cancelled" at "Order"

Order	Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others									
Stock C	ode:		Searc	ch All	~					
			Buy/Sell	Stock	Stock Name		Price	Total Qty	Order Type	Ord <u>er Status</u>
Canc	e 🗌	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	20.0	70	Limit Order	Cancelled
Canc	el 🗌	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	70.0	50	Limit Order	Fully Matched
Canc	ol l	0 mond	D I I V	ETDA: DBV	DELITECUE BANK DO	CLID	60.0	100	Limit Order	Eully Matchod

## 5 Change Password

Select the tab of *"Others"* and enter *"Old Login PW"*. Enter new password at the fields *"New Login PW"* and *"Re-Enter Login PW"*, and then press *"Submit"* 

Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others
Login User: 80000005
Old Login PW: New Login PW: Re-Enter Login PW:
Submit

\*New password should be at least 8 and not more than 16 characters, in both alphabets and numbers

## 6 Keep Connection

Under default setting, if the system keeps idle longer than 30 minutes, it will be logout automatically. However, if the client wants to keep the connection alive even the system will be idle for a long time, please enable the *"Keep connection"* option at the top right corner of the page

🏉 BOCOM Interna	tional Secu	rities Limited	Trading Platform	Windows Internet Explorer						
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o 交銀 BOCOM INTE	國際	證券 SECURITIES		Internet Exp	lorer 無法暴	顧示網頁				
				最有可能的原 • 您並未 • 該網站	因: 連線至網際網) 發生問題。	吃。				
				<ul> <li> 位亚可!</li></ul>	能輸入錯誤。 方式:					
				● 診斷連線	問題					
				反 其他資訊						
Buy	Sell	Login	User: 800000	005				Logou	<b>it</b>	nulid 2012 02 05)
Order A/C Portf	olio Multi	CCY TXN. H	History Order Hi	story Cash Movement S	ecurities Move	ement Othe	rs		Version 1.0.140.57 (	Balla 2012.03.03)
Stock Code:		Search	All	Stock Namo		Drico	Total Otu	Order Tupe	Order Statuc	Filled Otu
Cancel A	mend	Buy	ETRA: DB	K DEUTSCHE BANK-RG	EUR	40.0	50	Limit Order	Fully Matched	T meu Qey
Cancel A	mend	Buy	ETRA: DB	K DEUTSCHE BANK-RG	EUR	10.0	10	Limit Order	Rejected	
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Disclaimer								© C0	pyrighted 2008 Connec	ion status, connected
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## Check "Keep connection"

Keep connection

## 7 Password Reset

If client has forgotten the password, he/she can reset for it. To do so, please click the link 'Password Reset' at login page

🖉 BOCOM International Securities Limited Trading Platform - Windows Internet Explorer					
https://wee.bocomgroup.com/InternetTrading/en/login.html					
交銀國際證券 BOCOM INTERNATIONAL SECURITIES					
Login         Client code:         Password:         Language:       English ♥         Version:       Standard ♥         Login Reset         Password Reset         Disclaimer         @Copyright by BOCOM International Securities Limited (1.1.86)					
Done 😜 Internet 🖓 - 🕄	100% -				

Enter "Client Code", "Identity Card / BR Number" and "Verification Code". Press "Confirm

## Reset" button

Password Reset				
Client code:	888888888			
Identity Card / BR Number:	•••••			
Verification Code:	Gvqdxm			
	Gvqdxm 💟			
Confirm Reset				

After receiving password reset success confirmation message, client needs to login with the new password which is sent to client's email address. Client will be forced to change password afterward

\*Client should provide a correct and valid email address during account registration in order to receive password reset email