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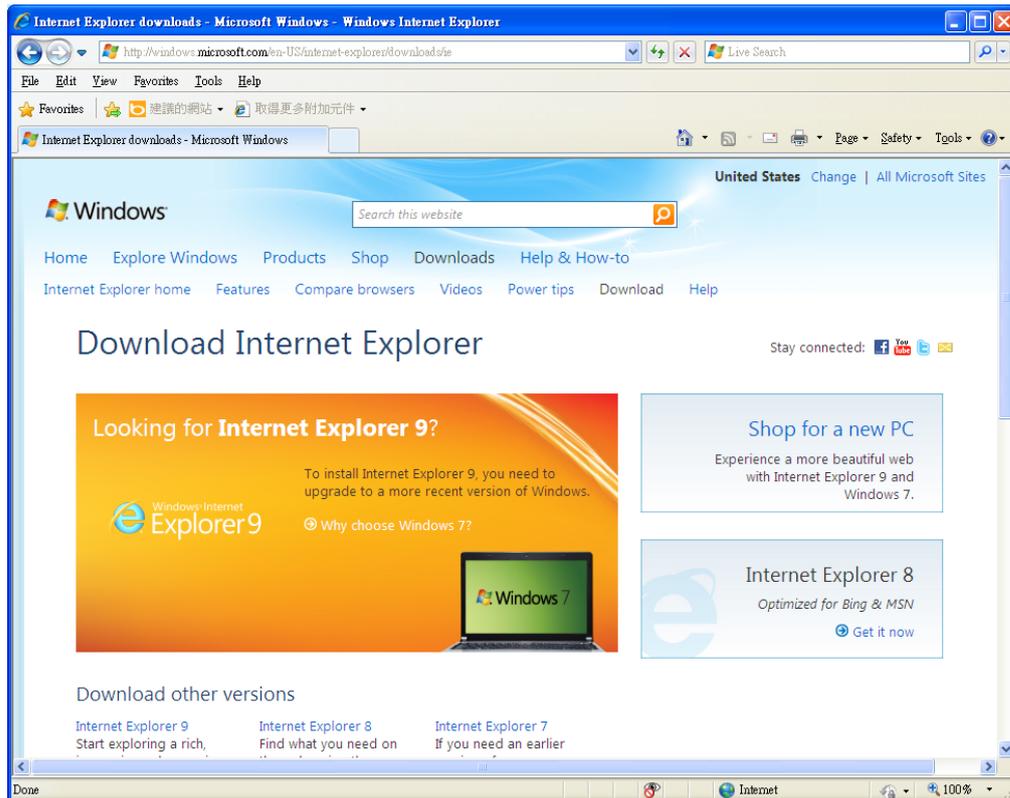
1 System Requirements

Before login to BOCOM International Online Trading (Overseas Securities) system, please make sure the following browser and software package is properly installed:

- Internet Explorer 7 or later
- Java Runtime Environment (JRE) 1.6 or later

1.1 Update Check for Internet Explorer

Open Internet Explorer



Choose "Help", and then choose "About Internet Explorer"



The following window will pop up and show the version of Internet Explorer.

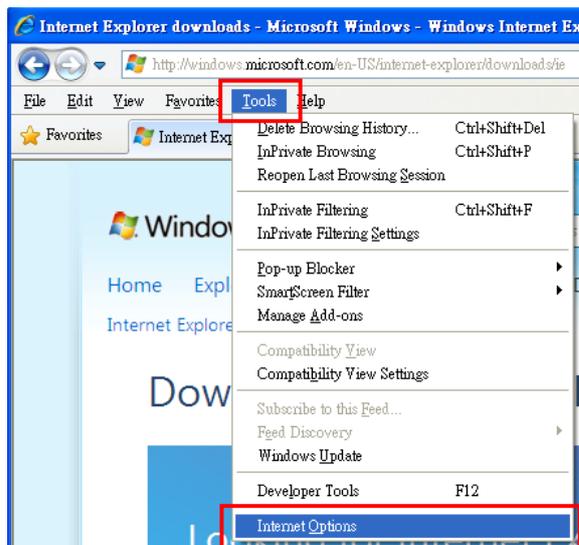


If your current browser is Internet Explorer 6 or below, please visit

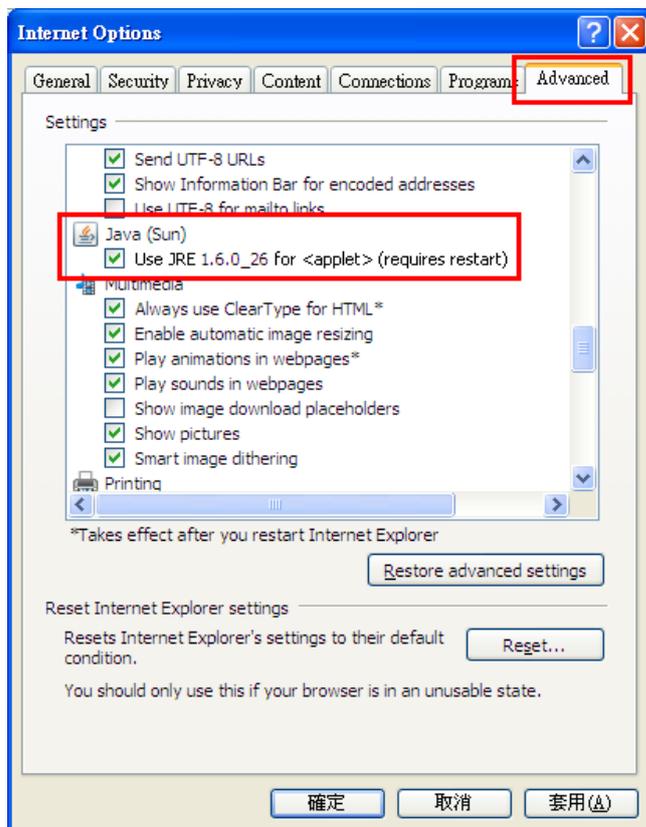
<http://www.microsoft.com/windows/ie/default.mspx> to download and install a newer version of Microsoft Internet Explorer

1.2 Update Check for Java

Open Internet Explorer. Choose "Tools" -> "Internet Options"



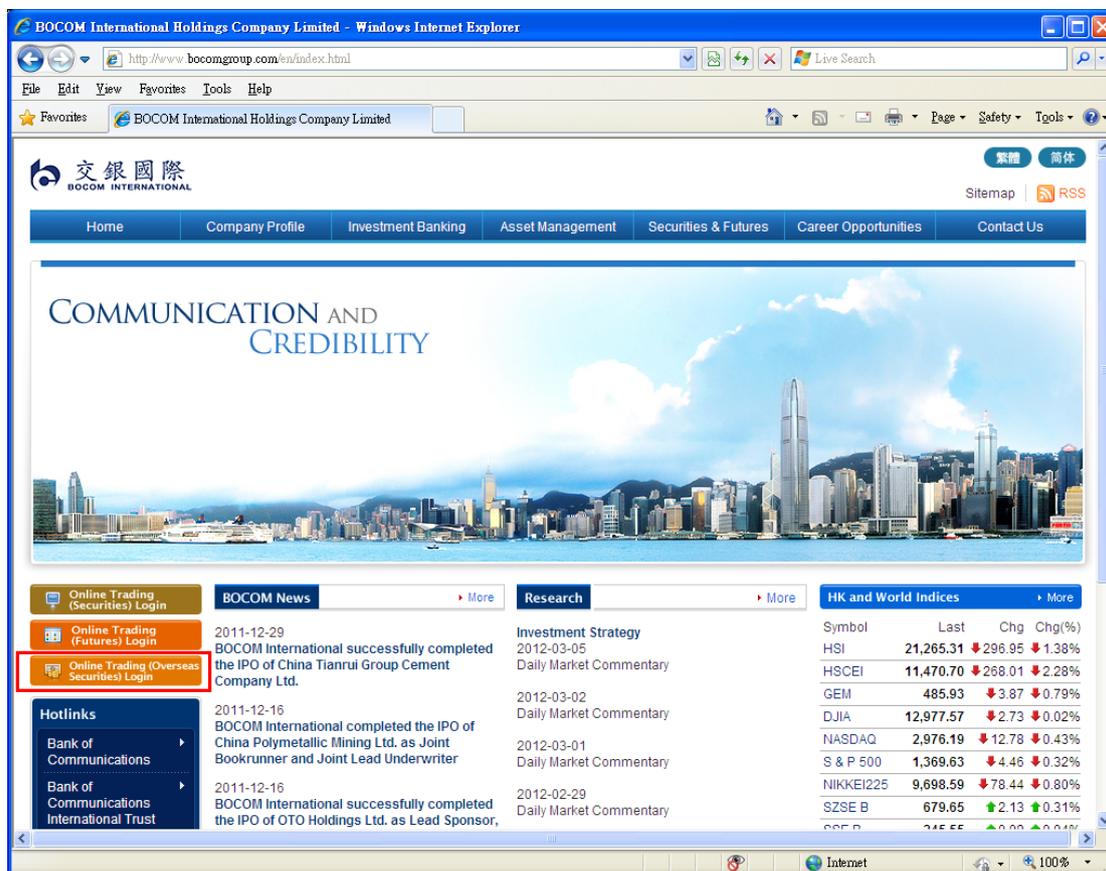
The following panel will be popped up, and then choose “Advanced”. The current version of Java will be shown on the “Java (Sun)” setting



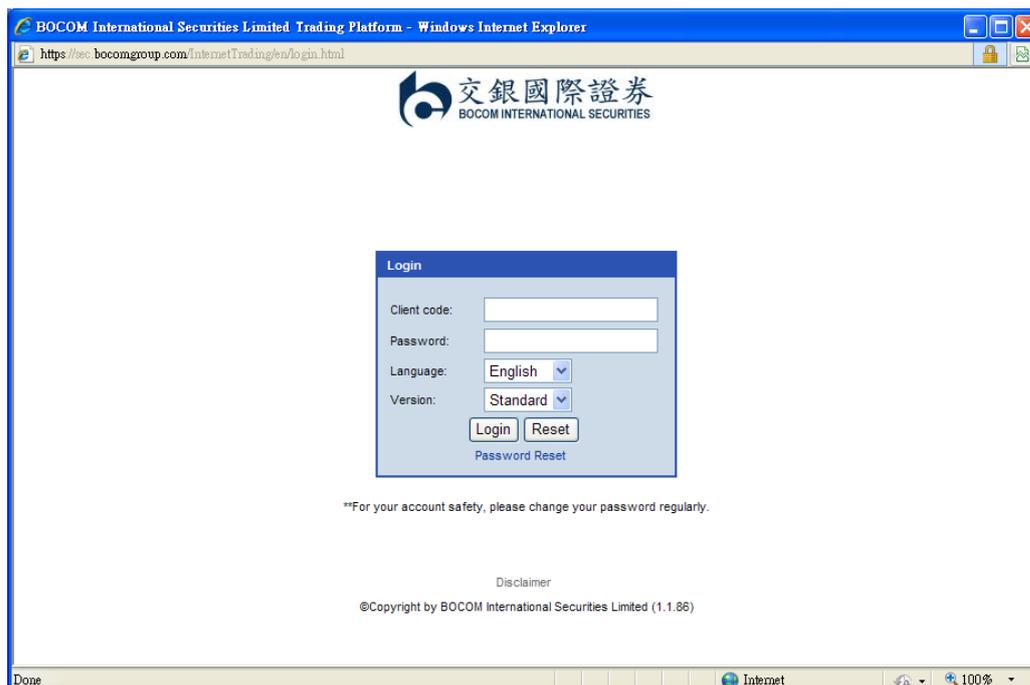
If the version of Java is below 1.6 or it is not yet installed, please visit <http://java.com/en/> to upgrade or install

2 System Login

Please go to BOCOM International's website <http://www.bocomgroup.com/> and choose "Online Trading (Overseas Securities) Login"



Please enter "Client Code" and "Password"



For first login, password must be changed before entering the system

Change password upon first login

Original login password

New login password

Confirm login password

*Password should be at least 8 and not more than 16 characters, in both alphabets and numbers

3 Account Information

Client can view the most up-to-date account information and trading status at the bottom of the page

The screenshot shows the BOCOM International Securities Limited Trading Platform interface. At the top, there is a navigation bar with 'Global Stock' and 'Logout' buttons. Below this, a message box indicates that Internet Explorer cannot display the webpage. The main content area shows a table of orders with columns for Buy/Sell, Stock, Stock Name, Price, Total Qty, Order Type, Order Status, and Filled Qty. The table contains two rows of orders for 'DEUTSCHE BANK-RG'.

Buy/Sell	Stock	Stock Name	Price	Total Qty	Order Type	Order Status	Filled Qty
Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 40.0	50	Limit Order	Fully Matched	
Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 10.0	10	Limit Order	Rejected	

3.1 Order

Show all orders placed today

Buy/Sell	Stock	Stock Name	Price	Total Qty
Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 50.5	10
Buy	OSE: 7974	NINTENDO CO LTD	JPY 50.0	200
Buy	OSE: 7974	NINTENDO CO LTD	JPY 50.0	100

3.2 A/C Portfolio

Show client's purchasing power, cash on hold, holding stocks information

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
Purchasing Power	39,664,614,871.38	Company Code : SEC		Stock Code :			
Avail. Balance	39,779,739,350.52	Exchange	Stock	Stock Name	Avail. Qty	Closing	
Credit Limit	N/A	OSE	7974	NINTENDO CO LTD	103,200	2,232.21	
Cash Balance	39,779,739,350.52	NASDAQ	AAPL	APPLE INC	93	46	
Avail. Margin Value	N/A	ETRA	DBK	DEUTSCHE BANK-RG	51	2	
Cash On Hold	0.00						
Cash Movement	0.00						
Today Bought	18,606.18						
Today Sold	0.00						
Net Buy/Sell	-18,606.18						
T+1 Balance	-115,105,872.96						
Portfolio's Ref. Value	39,879,290,786.86						

3.3 Multi CCY

Show all holding balances and exchange rates for multiple currencies

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
Company Code...		SEC					
Ccy Code	Exchang...	Open Balance	Available Balance	On Hold	Ledger Balance	Cash Mov	
USD	7.748500	999,961,756.93	999,961,756.93	0.00	999,961,756.93		
EUR	10.352700	999,999,945.49	999,999,945.49	0.00	999,998,148.26		
JPY	0.096000	9,971,189,920.00	9,971,189,920.00	0.00	8,772,170,410.00		
GBP	12.141202	1,000,000,000.74	1,000,000,000.74	0.00	1,000,000,000.74		
RMB	1.183000	1,000,000,000.00	1,000,000,000.00	0.00	1,000,000,000.00		
SGD	6.397400	1,000,000,000.00	1,000,000,000.00	0.00	1,000,000,000.00		
HKD	1.000000	1,000,000,000.00	1,000,000,000.00	0.00	1,000,000,000.00		

3.4 Transaction History

Show client's transaction history

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
<< 1 / 1 Page >>		Trade		Stock Code:			
Trade Date	Trade Ref.	Stock	Stock Name	Exchange Market	Buy/Sell	Currency	Price
2012-03-01	01003796	7974	NINTENDO CO LTD	OSE	Buy	JPY	386,645.16
2012-02-28	01003785	DBK	DEUTSCHE BANK-RG	ETRA	Buy	EUR	35.1100
2012-02-24	01003783	7974	NINTENDO CO LTD	OSE	Buy	JPY	288,000.00
2012-02-22	01003758	AAPL	APPLE INC	NASDAQ	Buy	USD	400.0000

3.5 Order History

Show client's order history

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
From 2012 YYYY 03 MM 01 DD To 2012 YYYY 03 MM 02 DD		Inquiry		Stock Code:			
<< 1 / 2 Page >>							
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty	Outstanding Qty	Order S
Buy	DBK	DEUTSCHE BANK-RG	50.00000	1,000	0	0	C:
Buy	C	CITIGROUP INC	1.12345	1	0	0	F
Buy	C	CITIGROUP INC	5.67890	1	0	0	F
Buy	C	CITIGROUP INC	1.12340	1	0	0	F
Buy	DBK	DEUTSCHE BANK-RG	40.12000	1	0	0	C:

Client can view order history within specific period

For the start date of enquiry, input year, month and day at "YYYY", "MM" and 'DD' respectively

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement								
From	2012	YYYY	2	MM	15	DD	To	2012	YYYY	03	MM	02	DD	Inquiry
<<		/	0	Page	>>									
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty									

For the end date of enquiry, input year, month and day at "YYYY", "MM" and 'DD'

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement								
From	2012	YYYY	2	MM	15	DD	To	2012	YYYY	03	MM	02	DD	Inquiry
<<		/	0	Page	>>									
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty									

Click "Inquiry" to show the search results

From	2012	YYYY	2	MM	15	DD	To	2012	YYYY	03	MM	02	DD	Inquiry
<<	1	/	7	Page	>>									
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty									
Buy	DBK	DEUTSCHE BANK-RG	50.00000	1,000	0									
Buy	C	CITIGROUP INC	1.12345	1	0									
Buy	C	CITIGROUP INC	5.67890	1	0									
Buy	C	CITIGROUP INC	1.12340	1	0									
Buy	DBK	DEUTSCHE BANK-RG	40.12000	1	0									
Buy	DBK	DEUTSCHE BANK-RG	40.12340	1	0									
Buy	BMW	BAYER MOTOREN WK	1.12340	1	0									
Buy	GOOG	GOOGLE INC-CL A	1.12340	1	0									

If there are too many records to display, please click "<<" or ">>" to view other search results

From	2012	YYYY	2	MM	15	DD	To	2012	YYYY	03	MM	02	DD	Inquiry
<<	1	/	7	Page	>>									
Buy/Sell	Stock	Stock Name	Price	Total Qty	Filled Qty									
Buy	DBK	DEUTSCHE BANK-RG	50.00000	1,000	0									
Buy	C	CITIGROUP INC	1.12345	1	0									
Buy	C	CITIGROUP INC	5.67890	1	0									

3.6 Cash Movement

Show all cash in/out records

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
Cash In Cash Out No. Record(s)							
7 Company Code : SEC							
In/Out	In/Out Date	Currency	Amount	Rema			
0	In	2012-02-21	USD 1,000,000...				
7	In	2012-02-21	EUR 1,000,000...				
	In	2012-02-21	GBP 1,000,000...				
	In	2012-02-21	HKD 1,000,000...				
	In	2012-02-21	JPY 10,000,00...				
	In	2012-02-21	RMB 1,000,000...				
	In	2012-02-21	SGD 1,000,000...				

3.7 Securities Movement

Show all securities deposit/withdrawal records

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
No. Securities In		1 Company Code : SEC					
No. Securities Out		1 In 2012-03-01 2012-03-01 7974 NINTENDO C...					
Total No. of Record(s)		2 Out 2012-03-01 2012-03-01 AAPL APPLE INC					

3.8 Others

Please refer to page 15 "5 Change Password"

4 Order

Client can place orders by clicking "Buy" or "Sell" at the left of the page

BOCOM International Securities Limited Trading Platform - Windows Internet Explorer

交銀國際證券 BOCOM INTERNATIONAL SECURITIES

Global Stock

Keep connection Logout

Stock price quote and market information services is not available temporary, please contact customer service.

Buy Sell Login User : 800000005 Logout

Order A/C Portfolio Multi CCY TXN. History Order History Cash Movement Securities Movement Others

Version 1.0.140.57 (Build 2012.03.05)

Stock Code:	Buy/Sell	Stock	Stock Name	Price	Total Qty	Order Type	Order Status	Filled Qty		
	Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR	50.5	10	Limit Order	Rejected
	Cancel	Amend	Buy	OSE: 7974	NINTENDO CO LTD	JPY	50.0	200	Limit Order	Rejected
	Cancel	Amend	Buy	OSE: 7974	NINTENDO CO LTD	JPY	50.0	100	Limit Order	Rejected

Disclaimer © Copyrighted 2008 Connection status: Disconnected

完成

5.1 Ordering Procedures

After pressing “Buy” or “Sell”, the system will pop up the following order ticket. Please input the order information

- “Market” and “Stock Code”
- “Order Price”
- “Order Quantity”
- “Order Type”(Limit Order only)
- “Trade Password”

Buy Trade Date:2012-03-05

Stock Name DEUTSCHE BANK-RG

Broad Lot Size 1

Stock Code ETRA DBK

Order Price 40

Order Quantity 50

Order Type Limit Order

Trade Password

Purchasing Power 999,998,148.26

Usable Quantity 51

Gross 2,000.00

Proceed Cancel

Out of 24 Spread
 Next Trade Date Order

Sell Trade Date:2012-03-06

Stock Name DEUTSCHE BANK-RG

Broad Lot Size 1

Stock Code ETRA DBK

Order Price 40

Order Quantity 50

Order Type Limit Order

Trade Password

Purchasing Power 999,998,148.26

Usable Quantity 51

Gross 2,000.00

Proceed Cancel

Out of 24 Spread
 Next Trade Date Order

Client can check the box next to “Trade Password” so that he/she will not need to enter the password again for the next order (Client should consider if he/she requires this function)

Trade Password

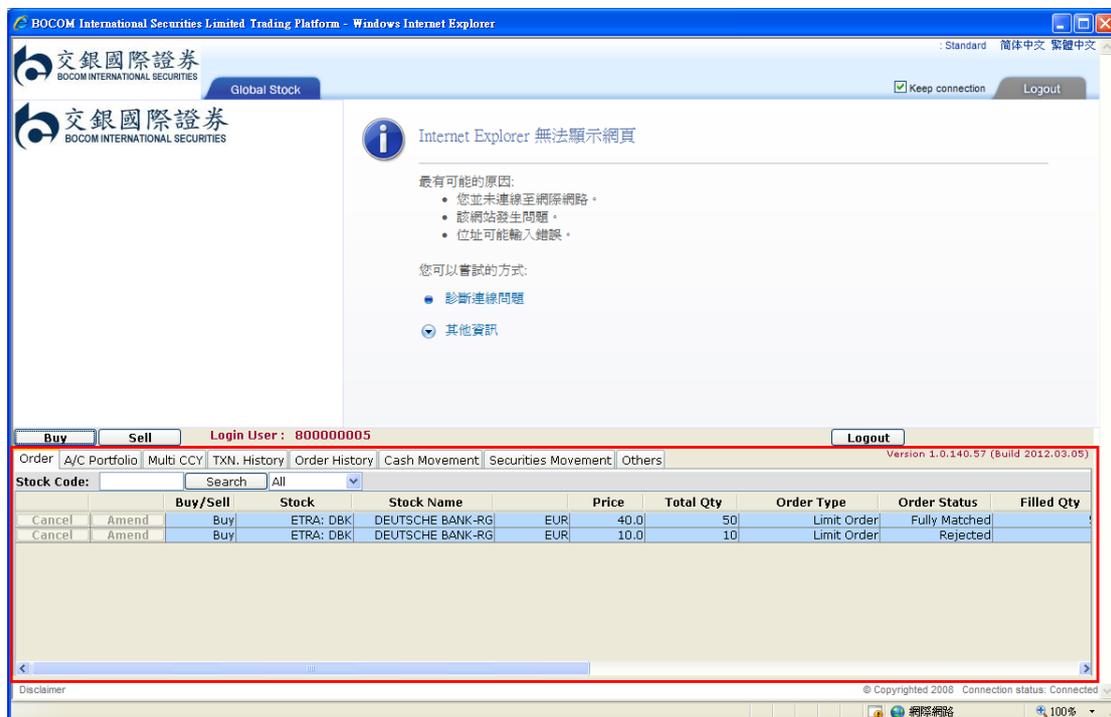
*After logout the system, the password saving functionality will be removed

Press *“Proceed”*

After verifying the order information, press *“Confirm”* to send the order



After sending the order, the order information will be shown at the bottom of the page under tab of *“Order”*



The order statuses will be shown and updated automatically at *“Order”*

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others	
Stock Code:		<input type="text"/>	<input type="text" value="Search"/>	All				
		Buy/Sell	Stock	Stock Name	Price	Total Qty	Order Type	Order Status
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 40.0	50	Limit Order	Fully Matched
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 10.0	10	Limit Order	Rejected

5.2 Order Amendment

Client can amend a queuing order by clicking *“Amend”* at the corresponding order record under tab of *“Order”*

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others	
Stock Code:		<input type="text"/>	<input type="text" value="Search"/>	All				
		Buy/Sell	Stock	Stock Name	Price	Total Qty		
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 60.0	100		
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 50.5	10		
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	OSE: 7974	NINTENDO CO LTD	JPY 50.0	200		
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	OSE: 7974	NINTENDO CO LTD	JPY 50.0	100		

Client can modify the trade order information, including price and quantity. Press *“Proceed”*

Amend

Stock Code	ETRA: DBK
Stock Name	DEUTSCHE BANK-RG
Buy/Sell	Buy
Order Price	EUR 60.0
Order Quantity	100
Outstanding Quantity	100
Order Type	Limit Order
Broad Lot Size	1

New Price

New Quantity

Password

Out of 24 Spread

After verifying the order information, press *“Confirm”* to send the amendment request

Amendment Confirm

Stock Code	ETRA: DBK
Stock Name	DEUTSCHE BANK-RG
Buy/Sell	Buy
Order Price	EUR 60.0
Order Quantity	100
Outstanding Quantity	100
Order Type	Limit Order
New Price	EUR 20
New Quantity	70
Price Out of 24 Spread	No

After confirmation, please go to the "Order - Order Status" section to check if your instruction has been successfully executed.

Updated trade order will be shown at *“Order”*

Order										
A/C Portfolio		Multi CCY		TXN. History		Order History		Cash Movement	Securities Movement	Others
Stock Code:		Search		All						
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy/Sell	Stock	Stock Name	Price	Total Qty				
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 20.0	70				
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 70.0	50				
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 60.0	100				

5.3 Order Cancellation

Client can cancel a queuing order by clicking *“Cancel”* at the corresponding order record under tab of *“Order”*

Order										
A/C Portfolio		Multi CCY		TXN. History		Order History		Cash Movement	Securities Movement	Others
Stock Code:		Search		All						
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy/Sell	Stock	Stock Name	Price	Total Qty				
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 20.0	70				
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 70.0	50				
<input type="button" value="Cancel"/>	<input type="button" value="Amend"/>	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 60.0	100				

Press "Proceed"

Cancel

Stock Code	ETRA: DBK
Stock Name	DEUTSCHE BANK-RG
Buy/Sell	Buy
Order Price	EUR 20.0
Order Quantity	70
Outstanding Quantity	20
Order Type	Limit Order

Password

After verifying the order information, press "Confirm" to send the cancellation request

Cancellation Confirm

Stock Code	ETRA: DBK
Stock Name	DEUTSCHE BANK-RG
Buy/Sell	Buy
Order Price	EUR 20.0
Order Quantity	70
Outstanding Quantity	20
Order Type	Limit Order

After confirmation, please go to the "Order - Order Status" section to check if your instruction has been successfully executed.

The order status is updated as "Cancelled" at "Order"

		Buy/Sell	Stock	Stock Name	Price	Total Qty	Order Type	Order Status
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 20.0	70	Limit Order	Cancelled
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 70.0	50	Limit Order	Fully Matched
Cancel	Amend	Buy	ETRA: DBK	DEUTSCHE BANK-RG	EUR 60.0	100	Limit Order	Fully Matched

5 Change Password

Select the tab of *"Others"* and enter *"Old Login PW"*. Enter new password at the fields *"New Login PW"* and *"Re-Enter Login PW"*, and then press *"Submit"*

Order	A/C Portfolio	Multi CCY	TXN. History	Order History	Cash Movement	Securities Movement	Others
Login User: 800000005 Old Login PW: <input type="text"/> New Login PW: <input type="text"/> Re-Enter Login PW: <input type="text"/> <input type="button" value="Submit"/>							

*New password should be at least 8 and not more than 16 characters, in both alphabets and numbers

6 Keep Connection

Under default setting, if the system keeps idle longer than 30 minutes, it will be logout automatically. However, if the client wants to keep the connection alive even the system will be idle for a long time, please enable the *"Keep connection"* option at the top right corner of the page

The screenshot shows the trading platform interface with the following elements:

- Navigation tabs: Order, A/C Portfolio, Multi CCY, TXN. History, Order History, Cash Movement, Securities Movement, Others.
- Top right corner: Keep connection, Logout.
- Main content area: Internet Explorer 無法顯示網頁 (Internet Explorer cannot display this page).
- Order table:

Buy/Sell	Stock	Stock Name	Price	Total Qty	Order Type	Order Status	Filled Qty
Cancel	Amend	Buy	ETRA: DBK DEUTSCHE BANK-RG	EUR 40.0	50	Limit Order	Fully Matched
Cancel	Amend	Buy	ETRA: DBK DEUTSCHE BANK-RG	EUR 10.0	10	Limit Order	Rejected

Check *"Keep connection"*

Keep connection

7 Password Reset

If client has forgotten the password, he/she can reset for it. To do so, please click the link 'Password Reset' at login page



Enter "Client Code", "Identity Card / BR Number" and "Verification Code". Press "Confirm Reset" button

After receiving password reset success confirmation message, client needs to login with the new password which is sent to client's email address. Client will be forced to change password afterward

*Client should provide a correct and valid email address during account registration in order to receive password reset email